Theorem

A Tour of Games and Numbers

Alexander Berenbeim

Today

1 A Tour of Games and the Surreal Numbers

- Games
- Numbers
- A Quick Detour Through Genetic Functions
- Sign sequence lemma
- Present work
- Partial orderings on numbers

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- In a game of Normal play, the last player to move wins; in Mis\'ere play, the last player to moves loses.

Combinatorial Games (cont'd)

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- H is a subposition of G if there exists a sequence of consecutive (not necessarily alternating) moves leading from G to H

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- G is partizan if it is not necessarily impartial;

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- We say $G \ge 0$ if there is a winning strategy for the left; $G \le 0$ if there is a winning strategy for the right, and $G \parallel 0$, or G is fuzzy if there is a winning strategy for the first player, and G = 0 if there is a winning strategy for the second player.

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• We define the negation of a game $G \in \mathsf{PG}$ by $-G = \{-G^R\} \mid \{-G^L\}$

Ordering Partizan Games

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$$G \geq H \iff \neg(\exists G^R \in R_G(H \geq G^R) \lor \exists H^L \in L_H(H^L \geq G))$$

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- Then G > 0 (similarly G<0) defined by $G \ge 0 \land \neg (G \le 0)$.
- PG is a partially ordered abelian group, i.e. if G ≥ H, then for any K, G + K ≥ H + K.
- Furthermore, Lurie proved that PG is a universal embedding object in the sense that every ordered abelian group embeds into PG.

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Numbers as Partizan Games

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- We can inductively construct $PG = \bigcup_{\alpha \in On} PG_{\alpha}$ with

$$PG_{0} = \{0\}$$
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 \bullet We form $\mathsf{No} = \bigcup_{\alpha \in \mathsf{On}} \mathsf{No}_\alpha$ by letting $\mathsf{No}_0 = \mathsf{PG}_0$ and

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• Given this construction, one can readily encode the ordinals as games, (or more precisely), as numbers as follows:

$$\alpha = \alpha | \emptyset = \{ \alpha^L \} | \{ \}.$$

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so that

$$a < b \iff \exists \alpha \forall \beta \in \alpha a(\beta) = b(\beta)$$
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Theorem

(Fundamental Existence Theorem) For all sets of numbers F < G, there is a unique c of minimal length such that F < c < G.

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• We can see this agrees with the previous construction of the surreal numbers, as $\iota a = \bigoplus_{\mu \in \phi a} \alpha_{\mu} \oplus \beta_{\mu}$

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- Finally, let () = 0, and (a₁,..., a_n, a_{n+1}) = (a₁,..., a_n)°a_{n+1}.Now define a⁻¹ = F|G, where F consists of (a₁,..., a_n) where the number of a_i ∈ L_a is even and G where the number of a_i ∈ L_a is odd.

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Ordinal Functions

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- We define

$$\varepsilon(\mathbf{a}) = \{\omega_{(n)}(1), \omega_{(n)}(\varepsilon(\mathbf{a}^L) + 1)\} \mid \{\omega_{(n)}(\varepsilon(\mathbf{a}^R) - 1)\}$$

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$$\mathbf{a} = \sum_{i \in \mathbf{v}\mathbf{a}} \omega(\mathbf{a}_i) \mathbf{r}_i = \sum \omega_i^{\mathbf{a}} \mathbf{r}_i$$

• One can also put a Ressayre normal form on surreals, namely,

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• These two respective normal forms can be used to define Krull valuations $-\ell$ on No, where $\ell : No^{\times} \rightarrow No$ where $\ell(a) = \max\{a_i \in No \mid r_i \neq 0\}.$

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 Similarly, given a ∈ No<0, define a[#] to be the surreal number attained by omitting the first ⊖ sign.

The Sign Sequence Lemma: Reductions

Given a surreal number $a = \sum_{i \in \nu a} \omega^{a_i} r_i$ in normal form, we define the **reduced sequence** $(a_i^o | i \in \nu a)$ by omitting \ominus from the following sign sequences:

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- if *i* is a successor, $a_{i-1} \frown \ominus \Box a_i$ and if r_{i-1} is not a dyadic rational, then omit \ominus after a_{i-1} in a_i .

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Theorem

Given $a = (\langle \alpha_i, \beta_i \rangle)_{i \in \phi_a}$, then ω^a has the sign sequence

$$\langle \omega^{\gamma_0}, \omega^{\gamma_0+1}\beta
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Given a positive real r with sign sequence $(\langle \rho_i, \sigma_i \rangle)$, the sign sequence of $\omega^a r$ is

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with $\omega^{a^+}\rho$ and $\omega^{a^+}\sigma$ being the standard ordinal multiplication (with absorption). If r is a negative real, we reverse the signs.

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The Sign Sequence Lemma ctd

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For all $a \in No$, with Conway normal form $\sum_{i \in \nu a} \omega(a_i) r_i$, we have

$$\iota(a) = \bigoplus_{i \in \nu a} \iota(\omega(a_i^o)r_i)$$

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Proof.

This follows directly from $\iota(a\frown b) = \iota(a) \oplus \iota(b)$, and by induction on

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Some facts

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Supposing that $\iota(a) \le \iota(b) \le \iota(c)$: • $\iota(a+b) \le \iota(a) + \iota(b)$; • $\iota(ab) \le 3^{\iota(a)+\iota(b)}$;

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• For
$$a = \sum_{lpha \in eta} \omega(a_lpha) r_lpha$$
,

then $|\beta| \leq |\text{lub}_{\alpha \in \beta}[\iota(a_{\alpha})\omega]|$. (This result refers to the least upper bound of ordinals on the right hand side, and cardinalities on the left hand side).

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- The set of surreals with lengths less than a fixed ordinal ϵ number form a subfield of surreal numbers;
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• An ordinal upperbound for the cardinality of κ will be the least ϵ number larger than α .

• The subset of surreal numbers $\{a \mid |\iota(a)| \le \kappa\}$ for any fixed infinite cardinal κ will form a real closed field. Furthermore, since all operations will depend on finitely many elements of the condition $\iota(a) \le d$, we may strengthen this to $\iota(a) < d$.

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$$(x + y)^+ \le x^+ + y^+;$$

• For dyadic rationals a > 0,

 $\iota(a) = \iota([a]) + \iota(a - [a]) \text{ where } [a] \text{ denotes the natural number part of } a;$ • For $a, b \in \mathbb{R}$, $\iota(ab) \le \iota(a)\iota(b)$;

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- $\, \bullet \, \iota(\omega^{\mathsf{x}} r) = \iota(\omega^{\mathsf{x}}) \oplus \omega^{\mathsf{x}^+} \otimes \iota(r^\flat)$
- r is a dyadic rational, then $\omega(\omega^{\times} r) = \iota(\omega^{\times}) + \omega^{\times^{+}} \iota(r^{\flat})$;
- if r is not a dyadic rational, then $\iota(\omega^{x}r) = \iota(\omega^{x}) + \omega^{x^{+}}(\omega m)$ where $m \in \omega$ is the coefficient of $\omega^{x^{+}}$ in the Cantor normal form of $\iota(\omega(x))$.

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• For all surreal numbers x and y such that $\iota(\omega^x \omega^y) \le \iota(\omega^x)\iota(\omega^y)$, then for all $r, s \in \mathbb{R}$, $\iota((\omega^x r)(\omega^y s)) \le \iota(\omega^x r)\iota(\omega^y s)$ • For all surreal numbers x and y such that $\iota(\omega^x \omega^y) \le \iota(\omega^x)\iota(\omega^y)$, then for all $r, s \in \mathbb{R}$, $\iota((\omega^x r)(\omega^y s)) \le \iota(\omega^x r)\iota(\omega^y s)$

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- If $\xi \in On$ such that $\iota(\omega^{a_{\alpha}}r_{\alpha}) \leq \xi$ for all $\alpha \in \nu(a)$, then $\iota(a) \leq \xi \nu(a)$.

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• Finally, set $\zeta_{\mu} = \sum_{N \xi_{\mu}} \zeta_{\mu,i}$.
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- If we can prove the product lemma for the case where a > b so that $x = \omega^a + \omega^b$, and $y = \omega^c$, that $\iota(xy) \le \iota(x)\iota(y)$, then by induction this can prove the product lemam in general.

- The goal is to strengthen the bound provided by Lou van den Dries and Philip Ehrlich from $\iota(ab) \leq \omega \iota(a)^2 \iota(b)^2$ to $\iota(a)\iota(b)$.
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- In turn, since $a^+ = a^{o^+}$, it suffices to show that if $\chi(ab) \le \chi(a)\chi(b)$, as the principle obstruction is reduction.

Proposition

Let a > b and c be arbitrary surreal numbers. Consider $(b + c)^{\circ}$ the reduction of (b + c) with respect to a + c and b° the reduction of b with respect to a. Then $\chi((b + c)^{\circ}) \le \chi((b)^{\circ})\chi(c)$ implies $\iota(\omega((b + c)^{\circ})) \le \iota(b^{\circ})\iota(c)$.

Proof.

Recall from Fact ??, that $(a + b)^+ \leq a^+ + b^+$, and $\iota \omega(x) = \omega^{x^+} \chi x$. Since reduction only eliminates \ominus symbols, we find that

$$(b+c)^{o+} = (b+c)^+$$

Current work product lemma restated

Proof.

so if $\chi((b+c)^o \leq \chi((b^o))\chi(c))$, we have:

ι((

$$egin{aligned} (b+c)^o) &= & \omega^{(b+c)^{o+}}\chi((b+c)^o) \ &= & \omega^{(b+c)^+}\chi((b+c)^o) \ &\leq & \omega^{b^+}\omega^{c^+}\chi((b+c)^o) \ &\leq & \omega^{b^+}\omega^{c^+}\chi(b^o)\chi(c) \ &= & \omega^{b^+}\chi(b^o)\omega^{c^+}\chi(c) \ &= & \omega^{b^{o+}}\chi(b^o)\omega^{c^+}\chi(c) \ &= & \iota(b^o)\iota(c) \end{aligned}$$

Theorem

Let a > b and c be arbitrary surreal numbers. Consider $(b + c)^{\circ}$ the

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A Tour of Games and Numbers

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Moreover, these constructions are functorial in the sense that they can be defined as enriched categories over the category of the ordinals.

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Moreover, these constructions are functorial in the sense that they can be defined as enriched categories over the category of the ordinals.

• Results for \mathbb{R}_{an} , valued fields, and real differentiable fields will likely follow, and likely correspond to λ and κ numbers that were omitted from this talk.

Proposition

 $(No, <_{s}^{o})$ is a separative partial order under reverse inclusion.

Proof.

It is immediate that No is partially ordered by $<_s$, and so No will also be partially ordered by the opposite $<_s^o$, with top element 0. Now suppose $a, b \in$ No have tree rank α, β respectively and are such that $a \not\leq_s^o b$. Then $b \not\equiv a$, and so either $a \Box b$ or $a \bot b$. If $a \Box b$, then there is some $x \in \{-, +\}$ such that $a \frown x \Box b$. Let $y = \neg x$ (i.e. $\neg - = +$ and $\neg + = -$), and consider $c = a \frown y$. Then $a \Box c$, hence $c \leq_s^o a$ and $c \bot b$ as desired. If $a \bot b$, then we may take a = c.